PTO-1390 (Rev. 12-2004)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/LIS)

ATTORNEY'S DOCKET NUMBER 926267-100001

	CERNING A SUBMISSION	ON UNDER 35 U.S.C. 371	U.S. APTLIPATION NOT 11 1200, Se 37 CFR 1.5)							
	TONAL APPLICATION NO. /US03/23016	INTERNATIONAL FILING DATE July 24, 2003	PRIORITY DATE CLAIMED July 29, 2002							
TITLE OF	INVENTION									
1	METHOD AND APPARATUS FOR ELECTRO-BIOMETRIC IDENTITY RECOGNITION									
	IT(S) FOR DO/EO/US Lange, Daniel H.									
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:										
1. X T	This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.									
2. 🔲 т	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.									
з. 🔲 т	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.									
4. 🔲 1	The US has been elected (Article 31).									
5. X	A copy of the International Application as filed (35 U.S.C. 371(c)(2))									
	a. is attached hereto (required only if not communicated by the International Bureau).									
	b. has been communicated by	y the International Bureau.								
	c. X is not required, as the appl	ication was filed in the United States Received	ing Office (RO/US).							
6.	An English language translation of th	ne International Application as filed (35 U.S.C	C. 371(c)(2)).							
	a. is attached hereto.									
	b. has been previously subm	itted under 35 U.S.C. 154(d)(4).								
7.	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))									
	a. are attached hereto (required only if not communicated by the International Bureau).									
-	b. have been communicated	by the International Bureau.								
	c. have not been made; however, the time limit for making such amendments has NOT expired.									
	d. have not been made and	will not be made.								
8.	An English language translation of the	he amendments to the claims under PCT Ar	ticle 19 (35 U.S.C. 371(c)(3)).							
9. 🔲	An oath or declaration of the invento	r(s) (35 U.S.C. 371(c)(4)).								
10.	An English language translation of the Article 36 (35 U.S.C. 371(c)(5)).	ne annexes of the International Preliminary E	xamination Report under PCT							
Items	11 to 20 below concern document(s	s) or information included:								
11. 🔲	An Information Disclosure Statement	t under 37 CFR 1.97 and 1.98.								
12.	An assignment document for recordi	ng. A separate cover sheet in compliance wi	th 37 CFR 3.28 and 3.31 is included.							
13.	A preliminary amendment.									
14.	An Application Data Sheet under 37	CFR 1.76.	·							
15.	A substitute specification.									
16.	A power of attorney and/or change of	f address letter.								
17.	A computer-readable form of the sec	uence listing in accordance with PCT Rule	13ter.2 and 37 CFR 1.821- 1.825.							
18.	A second copy of the published Inter	national Application under 35 U.S.C. 154(d)	(4).							
19.	A second copy of the English langua	ge translation of the international application	under 35 U.S.C. 154(d)(4).							
20 X	Other items or information: PCT P1	phlication W02004/012388	with International Search Pene							

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICATION NO. (#knews, see 37 CPR 1.5)		INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER									
10/722071			PCT/US03/23016			926267-100001							
21. The following fees are submitted:							licant use	Office use only					
X a) Basic national fee \$300.0				00.00	\$	300.00							
X b) Examination fee \$200.00					200.00	\$	200.00						
X c) Search fee \$500.00							500.00						
	TOTAL OF ABOVE CAL	\$1,	000.00										
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.													
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)		RATE									
- 100 =	/50 =		x \$250.00		\$250.00	\$							
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).							130.00						
CLAIMS	NUMBER FIL	_ED	NUMBER EXTRA	R/	ATE	\$							
Total claims	28	- 20 =	8	х :	\$50.00	\$	400.00						
Independent clai	ims	- 3 =		× \$	200.00	\$							
MULTIPLE DEP	ENDENT CLAIM(S) (if ap	pticable)		+ \$	360.00	\$							
		•	TOTAL OF ABOVE			\$							
Applicant of by ½.	claims small entity status.	See 37 CFR	1.27. The fees indicated abo	ove are re	educed	\$	765.00						
				SUE	BTOTAL =	\$	765.00						
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). +													
TOTAL NATIONAL FEE =							765.00						
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +						\$							
TOTAL FEES ENCLOSED =							765.00						
Amount to be refunded:								\$					
		e charged			\$								
a. A chec	ck in the amount of \$		to cover the above	ve fees is	enclosed.								
b. A Please charge my Deposit Account No 50 – 2468 in the amount of \$ 765.00 to cover the above fees. A duplicate copy of this sheet is enclosed.													
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-2468. A duplicate copy of this sheet is enclosed.													
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.													
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.													
	RRESPONDENCE TO:		Laurenia america.		_		1.	1 , /					
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JONES DAY Patent Prosecution Department SIGNATURE						n	V/ V /	cone					
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Los Angeles, CA 90013-1025 NAME							<u>"</u>						
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